

Account # \_\_\_\_\_

Advisor # \_\_\_\_\_

**1 PERSONAL INFORMATION**

Account Owner Name (First, Middle Initial, Last): \_\_\_\_\_

Social Security Number: _____	Day Telephone Number: _____	Other Telephone Number: _____
-------------------------------	-----------------------------	-------------------------------

**2 CONTRIBUTIONS**

- Make all checks payable to TD AMERITRADE, Inc.
- Please include your account number and the tax year of the contribution on the memo section of your check.
- Because contributions must be made in cash, all stock deposits must be 60-day rollovers, direct rollovers, transfer or Roth conversions.
- Please note: If no tax year indication is made, your contribution will be reported as a current year contribution.

Cash Amount: _____	Tax Year: _____	<input type="checkbox"/> Traditional IRA <input type="checkbox"/> Roth IRA <input type="checkbox"/> SEP Contribution <input type="checkbox"/> SIMPLE Contribution
--------------------	-----------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------

**3 ROLLOVERS/TRANSFERS**

Cash Amount: _____	Symbol/Asset: _____	Number of Shares: _____
--------------------	---------------------	-------------------------

- Direct Rollover\*** — Irrevocable Qualifying Direct Rollover from my employer's plan 401(k), 403(b), profit-sharing plan, etc. or Roth portion of my employer's retirement plan.
- OR**
- 60-Day Rollover**— Irrevocable Qualifying Rollover processed less than 60 days ago. Account Owner attests to the following: that the funds deposited as an Irrevocable Qualifying Rollover do not contain any amounts from a Required Minimum Distribution; that these funds are being deposited within the allowable 60-day time period; and that Account Owner is allowed only one rollover per 12-month period. Account Owner further agrees to be bound by the election of this deposit as an Irrevocable Qualifying Rollover.
- Direct Transfer\***—Transferred from another firm where it was held with the identical account type and/or title.
- Roth Conversion** — As the result of a distribution from a traditional IRA, 401(k), 403(b), profit-sharing plan, etc. Limits apply: Account Owner's income does not exceed an amount that would disallow a Roth conversion under current law.

\* Please be advised that a transfer and a rollover are not the same thing. A rollover is the result of a distribution from another qualified plan or IRA. A transfer is the result of a direct transfer from one institution to another. If there is a doubt, contact the sending financial institution to determine if the funds were processed as the result of a distribution or a transfer.

**4 AGREEMENT — BY SIGNING THIS AGREEMENT, I ACKNOWLEDGE THAT:**

The contribution limit information is based on federal law as stated in the Internal Revenue Code, and is believed to be accurate. However, eligibility to contribute is dependent on my tax filing status and personal situation. I understand it is in my best interests to consult a competent tax advisor concerning my specific contribution eligibility and any applicable state laws, which may differ from federal law.

I instruct TD AMERITRADE, Inc. to deposit the funds or securities into my IRA (the "Account") according to the instructions on this IRA Deposit Slip. I understand that the deposit of funds or securities into the Account may have important and possibly irrevocable tax consequences. I acknowledge that TD AMERITRADE, as a discount brokerage firm, does not provide investment or tax advice; that the Account is self-directed; and that I assume full responsibility for this transaction. I release and agree to indemnify and hold harmless TD AMERITRADE, Inc. and TD AMERITRADE Clearing, Inc., their divisions, officers, employees, directors, representatives, owners, affiliates, successors and assigns from liability for any adverse consequences that may result from this transaction.

By my signature below, I certify that the information and instructions provided, and the elections made by and through this IRA Deposit Slip, are true and correct. TD AMERITRADE Clearing, Inc. may justifiably rely upon the instructions and elections made herein and is authorized to deposit the funds or securities in the manner provided by this IRA Deposit Slip.

Account Owner's Signature: \_\_\_\_\_ Date: \_\_\_\_\_

**TD AMERITRADE Institutional**  
4075 Sorrento Valley Blvd., Suite A  
San Diego, CA 92121

TDAI 081 REV. 11/07

